



Selecting level-specific specialized vocabulary using statistical measures

Kiyomi Chujo^{a,*}, Masao Utiyama^{b,1}

^a College of Industrial Technology, Nihon University, 2-11-1 Shin'ei, Narashino-shi, Chiba 275-8576, Japan

^b National Institute of Information and Communications Technology, 3-5 Hikaridai, Seika-cho, Soraku-gun, Kyoto 619-0289, Japan

Received 11 April 2005; received in revised form 9 November 2005; accepted 19 December 2005

Abstract

To find an easy-to-use, automated tool to identify technical vocabulary applicable to learners at various levels, nine statistical measures were applied to the 7.3-million-word 'commerce and finance' component of the British National Corpus. The resulting word lists showed that each statistical measure extracted a different level of specialized vocabulary as measured by word length, vocabulary level, US native speaker grade level, and Japanese school textbook vocabulary coverage, and that these measures produced level-specific words; i.e., beginning-level basic business words were identified using *Cosine* and the *complimentary similarity measure*; intermediate-level business words were extracted using *log-likelihood*, the *chi-square test*, and the *chi-square test with Yates's correction*; and advanced-level business word lists were created using *mutual information* and *McNemar's test*. We conclude that these statistical measures are effective tools for identifying multi-level specialized vocabulary for pedagogical purposes.

© 2006 Elsevier Ltd. All rights reserved.

Keywords: Vocabulary; Vocabulary selection; Statistical measures; Specialized vocabulary; ESP; Corpus; Extraction; Multi-level

* Corresponding author. Tel.: +81 47 474 2825; fax: +81 47 473 1227.

E-mail addresses: chujo@cit.nihon-u.ac.jp (K. Chujo), mutiyama@nict.go.jp (M. Utiyama).

URLs: <http://www5d.biglobe.ne.jp/~chujo> (K. Chujo), <http://www2.nict.go.jp/jt/a132/members/mutiyama> (M. Utiyama).

¹ Tel.: +81 774 98 6835; fax: +81 774 98 6961.

1. Introduction

Vocabulary expansion is essential for learners to gain proficiency in English (Nation, 1994) and empirical research has shown that having students use wordlists “play[s] an important role in speeding up lexical acquisition” (Beglar and Hunt, 2005, p. 9). To generate vocabulary lists for learners, earlier studies have used both objective measures such as *frequency* and/or *range* (Thorndike and Lorge, 1944; Harris and Jacobson, 1972; Engels et al., 1981) and subjective selection principles such as ‘learnability’ (Mackey, 1965), ‘necessity’ (West, 1953), and ‘intuitions of teachers of English as a foreign language (EFL)’ (Hindmarsh, 1980). Despite being a widely used measure, *frequency* in particular has been criticized for its inability to extract low-frequency words, which often have high information content (Richards, 1970). Although some objective measures such as ‘coverage indices’ (Mackey and Savard, 1967) and ‘familiarity’ (Richards, 1974) have been proposed to compensate for this disadvantage, the issue is still unresolved. Regardless of methodology, researchers point out that it is important for teachers to be highly selective when choosing lexical items (Laufer et al., 2005).

Because English is increasingly becoming a lingua franca for international technology and commerce, the English for specific purposes (ESP) approach has been distinguished from general English in language teaching (Hutchinson and Waters, 1987; Dudley-Evans and St. John, 1998). One of the prominent characteristics of ESP is a heavy load of corresponding specialized vocabulary or “technical words that are recognizably specific to a particular topic, field, or discipline” (Nation, 2001, p. 198). To select specialized vocabulary, Sutarsyah et al. (1994, p. 48) found that using the criteria of *frequency* and *range* was only partly successful in identifying the technical words. Because the focus of these measures is ranking general-purpose vocabulary in order of priority, separating technical vocabulary from general-purpose vocabulary is still labor-intensive, time-consuming, and heavily dependent on the selector’s expertise in English education and specialist knowledge of the domain, which English teachers generally do not have. An automated means is clearly needed for creating technical vocabulary lists that are differentiated from high-frequency words and that make it possible “to generate word-lists which differentiate low frequency items from rare items” (McCarthy, 2002, p. 27).

Because of the lack of general agreement on how to define technical vocabulary (Justeson and Katz, 1995), we must clarify some terms. The rank-ordered lists produced by each statistical measure are called *specialized words/lists/vocabulary* in this article. Technical vocabulary means words specific to a field, and general vocabulary is a general base of English words.

2. Literature review

A number of corpus-based studies have used a range of statistical measures to identify collocations and technical terms. Kennedy (2003) used the *mutual information (MI)* measure to demonstrate the strength of the associations between adjectives and 24 selected amplifiers (or degree adverbs) and found the most frequently occurring amplifier collocations in the British National Corpus (BNC). For example, *absolutely* collocates most strongly with *diabolical*, and *completely* collocates most strongly with *refitted*. Scott (1997, pp. 236–243) defined a ‘key word’ as a ‘word which occurs with unusual frequency in a given text’ and proposed a method of identifying ‘key words’ in a text by using the

chi-square (*Chi2*) statistic. He suggested that the procedure would provide guidance in identifying vocabulary items to be taught in EFL, and it is built into WordSmith Tools (Scott, 1996). With WordSmith Tools, users can choose between the *log-likelihood* (*LL*) and *chi-square with Yates's correction* (*Yates*) statistics, the latter of which is a version of *Chi2* for small samples. These statistics indicate whether a word is overused or underused in a specialized corpus compared with a corpus of general English.

Nelson (2000) used the *LL* statistic from WordSmith Tools to find words that are statistically more frequently used in business English than in general English by comparing each word's frequency in his one million-word business English corpus with its frequency in the BNC Sampler Corpus, which is a two million-word sub-corpus of the BNC. He was able to generate a list of business-related words such as *business*, *market*, *customer*, *management*, *price*, and *bank*. According to Oakes (1998, p. 174), *LL* is "a well-established statistical technique...and behaves well whatever the corpus size." Tribble (2000, p. 81) showed that the 'key-word' function of WordSmith has the potential to provide important stylistic information. Hunston (2002, p. 68) stated that "[m]any researchers find 'key-words' a useful starting point in investigating a special corpus." Flowerdew (2003) also used the 'key-word' function of WordSmith to identify key lexicons that follow a problem–solution pattern. Chung and Nation (2004) used their own program to identify technical vocabulary by comparing the frequency of the occurrence of words in an anatomy text with their frequency in a large general corpus and determined that it worked well but failed to identify words such as *neck*, *chest*, and *skin*, which were also common in the general corpus.

In a preliminary study (Chujo and Utiyama, 2004), we examined a range of statistical measures used in computational linguistics to identify technical vocabulary from a 100,000-word Test of English for International Communication (TOEIC) corpus, which is comprised of 16 practice tests. TOEIC is one of the most popular English certification tests in Japan. The measures examined were *LL*, *MI*, *Chi2*, *Yates*, the *Dice coefficient* (*Dice*), *Cosine*, *complimentary similarity measures* (*CSM*), and *frequency*. *Dice* and *Cosine* are statistics widely used to measure the similarity between collocations and between terms (Oakes, 1998, pp. 114, 184). *CSM* is a similarity measure often used in optical character recognition. Each measure was used to extract words that occur significantly more often in the TOEIC test corpus than in the BNC. Each resulting list was compared to an existing technical vocabulary control list (Chujo, 2003), and the corresponding statistical measures were evaluated for their effectiveness by calculating the proportion of relevant candidates they produced. We determined that all these measures effectively produce relevant technical vocabulary and that each measure creates a unique type of word list that can be specifically applied to student proficiency levels and lexicons. Our present study applies the same methods but to a much larger corpus, includes an additional statistical measure, and explores pedagogical applications based on average word length, BNC frequency, native speaker grade level, and Japanese textbook coverage.

3. Research questions

As noted earlier, it has been shown that specific statistics can be effectively used to identify specific types of words from a corpus. Our previous study focused on statistical application to a 100,000 word TOEIC corpus; this present study applies statistical measures to a 7.3 million word business and finance corpus to determine the effectiveness of

each of the nine statistics used in targeting appropriate vocabulary, and explores further issues relating to word length, vocabulary level, US native speaker grade level, and Japanese school textbook vocabulary coverage. Specifically, the following questions were addressed:

1. What are the differences and similarities in the specialized lists produced by each measure?
2. What types of business English words are extracted by each measure, and how are they ranked?
3. Do the measures extract words of different average lengths?
4. How frequently do the top (most frequently appearing) 500 words extracted by each method occur in the BNC?
5. At what US grade level are the top 500 words extracted by each method understood?
6. What percentage of the top 500 words extracted by each method appear in Japanese junior and senior high school texts?
7. What pedagogical applications are suggested by the extraction results?

4. Method

4.1. The data

4.1.1. Commerce and finance master word list

To extract business English specialized word sub-lists from a corpus, we needed to begin with one large master list of commerce and finance terms. To create this kind of business-related master list, we began with the 7.3 million word ‘commerce and finance’ written component of the BNC. This includes 284 texts from books in business and related fields such as accounting, advertising, banking, public relations, trading, and sales, and also business section articles from periodicals such as *The Economist*, *The Guardian*, and *The Independent* (see [Burnard, 2000](#) for a list of excerpted works). The 7,257,533 words in this corpus were first lemmatized to extract all base forms using a tagging program ([CLAWS7, 1996](#)), which provides the possible base forms and parts of speech information for each word. (For example, *finances*, *financing*, and *financed* would be listed as *finance*.) This created a list of 154,669 different words. Secondly, if a word appeared fewer than 100 times in the corpus, it was deleted. Next, all proper nouns and numerals were identified by their part of speech tags and deleted manually because statistical measures mechanically identify these words as technical words ([Scott, 1999](#)) and “they are of high frequency in particular texts but not in others, . . . and they could not be sensibly pre-taught because their use in the text reveals their meaning” ([Nation, 2001, pp. 19–20](#)). Finally, this process yielded a 2973-word commerce and finance master list. It should be noted that the use of this type of statistical extraction will target only single-word lexical units (*marketplace*, *stockmarket*) and variants such as compounds (*market place* and *stock market*) may be overlooked.

4.1.2. Control lists

We wanted not only to extract business-related words but also to know if these words appear generally in English, at what frequency, and at what (US) native speaker grade

level. In addition, we wanted to know if these extracted business terms are learned by Japanese students in the course of their junior and senior high school years, and if so, to what extent. For these reasons, three control vocabulary lists were used:

- (1) The British National Corpus High-Frequency Word List (BNC HFWL), a list of 13,994 lemmatized words representing 86,123,934 total words in the BNC that occur 100 times or more which was created using the same procedure as for the creation of the master list describing in Section 4.1.1 (compiling procedure is detailed in Chujo, 2004). It was used for comparison to statistically determine if and how these business-related words appear differently in a general corpus. The BNC is “one of the largest and most representative corpora of a single variety of English currently available” (Kennedy, 2003, p. 467), and the BNC HFWL is its core.
- (2) *The Living Word Vocabulary* (Dale and O’Rourke, 1981) includes more than 44,000 items, and each has a percentage score that rates whether the word is familiar to students in (US) grade levels 4 through 16. This list was used to determine the grade level at which the central meaning of a word can be readily understood.
- (3) The authors created a junior and senior high school (JSH) textbook vocabulary list containing 3098 different base words. These were compiled from the top selling series of JSH textbooks (the *New Horizon 1, 2, 3* series and the *Unicorn I, II* and *Reading* series) in Japan (Asano et al., 2000; Suenaga et al., 2000). Japanese high school students generally use these or similar books to study English before entering a university.

4.2. Statistical measures

The measures examined were mutual information (*MI*) (Church and Hanks, 1989), the log-likelihood ratio (*LL*) (Dunning, 1993), the chi-square test (*Chi2*) and chi-square test with Yates’s correction (*Yates*) (Hisamitsu and Niwa, 2001), the Dice coefficient (*Dice*) (Manning and Schütze, 1999), Cosine (*Cosine*) (Manning and Schütze, 1999), the complementary similarity measure (*CSM*) (Wakaki and Hagita, 1996), McNemar’s test (*McNemar*) (Rayner and Best, 2001) and frequency (*Freq*). The first seven of these were used by Chujo and Utiyama (2004) and Utiyama et al. (2004), and all eight statistical measures are affinity or similarity measures that are widely used in computational linguistics. They automatically identify prominent words by making comparisons between one specified list (in this case, the commerce and finance master list) and another larger list (the BNC HFWL). In addition to these eight statistical measures, the simple *frequency* measure was included and used for comparison. The formula for each measure is given in Appendix.

To understand the word lists obtained, it is important to understand the concept of “outstanding-ness” (Scott, 1999). We want to determine what words each measure will identify, and in order to be able to compare the word lists for each measure, we must determine not just those words which each measure will identify but those words that “stand out”, or are the most prevalent. The statistical score for the extent of each word’s “outstanding-ness” in frequency of occurrence is computed as follows: (1) four variables ‘*a*, *b*, *c*, *d*’ (‘the frequency of word *X* in the Commerce word list’, ‘the frequency of word *X* in the BNC HFWL’, ‘the number of running words in Commerce not involving word *X*’ and ‘the number of running words in BNC HFWL not involving word *X*’) are computed for each word. (2) The variables are applied to each formula to yield each word’s “outstanding-ness” (Scott, 1999)

score. Since each measure uses a different formula, it gives a different score to each word. A detailed description of each measure can be found in Utiyama et al. (2004) and the notation for these kinds of statistics can be found in Scott (1997). Finally, (3) the words are sorted from the most outstanding to the least outstanding by the statistical ranking. Thus, the words near the top are ranked as outstandingly prominent in terms of each statistical measure's criteria. The goal of identifying specialized words by using these measures is to narrow down the number of candidates for the category of technical items, not to totally extract these items. Because there may be some variation in what any particular teacher or material writer will select, simply deleting the poor candidates from a more encompassing automated list would be a much simpler task than creating the entire list manually.

4.3. Understanding the meaning of the extracted specialized lists

All the extracted word lists were examined for:

- (1) Agreement with the other statistical measures
- (2) Top 50 specialized words overview comparison

In addition, the 500 most outstanding words of each list were studied to examine:

- (3) Average word length
- (4) Distribution of the BNC HFWL frequency bands
- (5) Grade level based on word familiarity
- (6) Number of words not covered by the JSH textbook vocabulary

5. Results and discussion

5.1. Agreement with the other statistical measures

To quantify the degree of similarity or difference of the lists generated by each measure, we compared their rank-ordered output for the same data and numerically expressed their agreement with each other by using Kendall's rank correlation coefficient. This coefficient was used because the data used were ordinal and were ranked by statistical scores. The correlation is shown in Table 1, which provides a broad

Table 1
Correlations between statistical measures

	<i>LL</i>	<i>Yates</i>	<i>Chi2</i>	<i>CSM</i>	<i>MI</i>	<i>Cosine</i>	<i>Dice</i>	<i>Freq</i>	<i>McNemar</i>
<i>LL</i>	–								
<i>Yates</i>	1.0	–							
<i>Chi2</i>	1.0	1.0	–						
<i>CSM</i>	0.9	0.9	0.9	–					
<i>MI</i>	0.8	0.8	0.8	0.7	–				
<i>Cosine</i>	0.6	0.6	0.6	0.6	0.4	–			
<i>Dice</i>	0.3	0.3	0.3	0.3	0.1	0.7	–		
<i>Freq</i>	0.3	0.3	0.3	0.3	0.1	0.7	1.0	–	
<i>McNemar</i>	0.3	0.3	0.3	0.2	0.5	0.1	–0.1	–0.1	–

profiling of the rank-ordered output of the different statistical measures. A correlation of 1.0 or 0.9 is very strong. The correlations indicate that *LL*, *Yates*, and *Chi2* produce results that are similar to each other, and *CSM* is also quite similar to the three measures; in addition, the similarity in output of *Freq* and *Dice* is very strong. On the other hand, *MI*, *Cosine*, and *McNemar* show low correlations with all other measures. *McNemar* has a particularly low correlation to other measures and has a marginally close correlation with *MI*.

5.2. Top 50 specialized word comparisons

The top 50 words from each of the nine different measures in descending order are shown in Table 2. Since the top 50 extractions made using *Freq* and *Dice* were virtually identical, they are shown in the same column, and because those of *Chi2* and *Yates* were the same, they are listed in the same column. These similarities meet our expectations based on the above correlation observations. The bottom three rows of each column show the average frequency score, average word length, and percentage of function words (Nation, 2001) of the top 50 words generated by each statistical measure.

The specialized lists in Table 2 are very different from each other even though they were extracted from the same data. Words identified by *Freq* and *Dice* are general vocabulary words that usually appear at the top of high frequency lists in both small and large corpora. In fact, 82% of the top 50 words are function words. For *Cosine* and *CSM*, the top 50 extractions include some words that have particular technical uses in business such as *market*, *price*, *cost*, *account*, *share*, and *firm*. The *LL/Chi2/Yates* lists seem to be well-suited to identifying ‘basic business words’ such as *bank*, *asset*, *investor*, *shareholder*, *employee*, *credit*, *industry*, *capital*, *payment*, *stock*, *loan*, *exchange*, and *dividend*. The *MI* and *McNemar* lists identify technical business words such as *buyout*, *payout*, *arbitrage*, *sub-contractor*, *shareholding*, *headhunter*, *issuer*, *drafter*, *liquidity*, *fiduciary*, *ledger*, and *volatility*.

As we see from the data in the bottom three rows of Table 2, the average frequency score of each top 50 list, ranging from 61,909 to 134, and the rate of function words of each top 50 list, ranging from 82% to 0%, decreases from left to right or from *Freq* to *McNemar*. Function words are usually high-frequency words, also called structural words, that we cannot do without and are the kinds of words introduced very early in any type of language course. Thus, we can assume that high frequency words are familiar to learners and are therefore generally easier to learn. Next, correlating with the average frequency, the average word length of lists increases from left to right, ranging from 3.3 to 9.4. Takefuta et al. (1994) showed that difficulty levels increase with increasing word length. Although we are aware that word difficulty seems to be influenced by many more factors than frequency and word length, this might support the possibility that specific statistical measures can be used to target specific grade-level vocabulary. This will be explored in the following sections.

5.3. Top 500 specialized word comparisons

The top 500 words extracted by each statistical measure were examined for their potential for pedagogical applications based on four criteria: average word length, BNC frequency, native speaker (US) grade level, and textbook coverage.

Table 2
 Top 50 specialized words in commerce and finance corpus as calculated using nine measures

Ranking	<i>Freq., Dice</i>	<i>Cosine</i>	<i>CSM</i>	<i>LL</i>	<i>Chi2, Yates</i>	<i>MI</i>	<i>McNemar</i>
1	The	The	The	Market	Market	Lading	Subcontractor
2	Be	Be	Of	Company	Company	Buyout	Acquirer
3	Of	Of	Be	Bank	Bank	Long-run	Payout
4	To	To	To	The	Price	Arbitrage	Issuer
5	A	A	A	Business	Business	Subcontractor	Drafter
6	And	And	In	Price	Investment	Stockmarket	No-arbitrage
7	In	In	Will	Rate	Rate	Offeror	Long-run
8	That	For	For	Cost	Firm	Drafter	Shareholding
9	Have	That	Company	Firm	Cost	No-arbitrage	Headhunter
10	It	Have	Market	Tax	Tax	Shareholding	Tax-free
11	For	Will	By	Investment	Account	Headhunter	Buyout
12	They	Company	Or	Account	The	Payout	Cross-border
13	On	Market	Business	Share	Profit	Issuer	Headhunting
14	Will	It	This	Profit	Contract	Liquidity	Actuarial
15	This	By	May	Contract	Share	Salesperson	Stockmarket
16	By	This	Bank	Of	Income	Settlor	Telegraph
17	With	Or	Price	Income	Customer	Acquirer	Fiduciary
18	As	On	Cost	Financial	Asset	Volatility	Chargeable
19	Not	They	Which	Customer	Financial	Accountancy	Ledger
20	Or	Bank	Rate	Management	Investor	Lender	Segmentation
21	You	As	Year	Product	Management	Depreciation	Fidelity
22	Which	Business	Account	Asset	Product	Tax-free	Lading
23	He	Which	Share	Will	Shareholder	Investor	Salesperson
24	From	With	Firm	Trade	Buyer	Dividend	Arbitrage
25	At	Price	Tax	Be	Employee	Relocation	Downturn
26	Can	Rate	Good	Investor	Of	Ledger	Flotation
27	We	Cost	Interest	Industry	Trade	Nationalize	Macroeconomic
28	But	From	Shall	To	Credit	Invoice	Multiplier
29	Do	May	Contract	Employee	Industry	Auditor	Misrepresentation
30	Many	Firm	Service	Capital	Capital	Borrower	Non-executive
31	May	Account	Investment	Shareholder	Payment	Equity	Actuary
32	If	Tax	Pay	Buyer	Dividend	Seller	Overdraft
33	Much	Can	As	Credit	Cash	Conglomerate	Freehold
34	Company	Not	Management	Payment	Loan	Chargeable	Elasticity
35	There	Investment	New	Organization	Stock	Warranty	Payroll
36	Make	At	Product	Or	Organization	Actuarial	Diligence
37	Year	Share	Trade	Interest	Seller	Shareholder	Unpaid
38	Market	Year	Financial	Pay	Will	Headhunting	Saver
39	All	Many	Profit	Cash	Finance	Merger	Expiry
40	Other	Contract	Information	Sell	Sell	Retailer	Marketplace
41	Use	Profit	Industry	Stock	Liability	Valuation	Proxy
42	Shall	If	Income	Loan	Transaction	Payroll	Ordinarily
43	Good	Financial	Many	May	Client	Reasonableness	Deduct
44	Who	Make	Provide	Manager	Pay	Exporter	Notification
45	Time	Income	Any	Information	Consumer	Audit	Diversification
46	New	Much	System	Finance	Be	Segmentation	Inflationary
47	Any	Good	Customer	Service	Manager	Buyer	Policyholder
48	Some	Shall	Organization	Client	Interest	Fiduciary	Stockbroker
49	Also	Management	Other	Exchange	Exchange	Macroeconomic	Medium-sized
50	Take	Customer	Group	Dividend	Sector	Taxable	Unionism
Average frequency	61,909	58,517	45,804	32,730	27,322	534	134
% of Function words	82%	58%	36%	14%	8%	0%	0%
Average word length	3.3	4.3	5.2	6.1	6.4	8.7	9.4

5.3.1. Average word length

As the data in Table 2 suggests, word length increases as we move from left (*Freq*) to right (*McNemar*) for the top 50 words. To confirm that this visual hypothesis holds true for the entire list, we computed the average word length of groups of 50 words from the lists, and the results up to the 500th word are illustrated in Fig. 1. Because the average word lengths for *Freq* and *Dice* were identical, and those of *LL*, *Chi2*, and *Yates* were similar to each other, only six lines are plotted in the graph.

The data show that the measures extracted words of different lengths, with the longest words extracted by *McNemar*, then by *MI*, *LL/Chi2/Yates*, *CSM*, *Cosine*, and *Freq/Dice*, in that order. As Chujo and Takefuta (1989) and Takefuta et al. (1994) have shown, the average length of words can be a measure of difficulty level. This suggests that each measure identified different difficulty levels of words as its outstanding words. Interestingly, none of the measures use word length in their parameters, and yet the result implies a direct relationship to vocabulary level. Of course we are aware of the limitation of this type of statistical analysis, i.e., among the top 500 extractions there are ‘long’ words such as compounds like *marketplace* and *headhunting* and derivatives like *reasonableness* and *segmentation* and whose learning burden might be reduced if they contain base forms known by learners.

5.3.2. Vocabulary level defined by BNC frequency band distribution

The BNC represents present day general vocabulary usage. We examined the frequency distribution of the top 500 extracted words by using the BNC HFWL, which was divided into 14 1000-word frequency bands of the most frequent words. ‘BNC frequency bands 1000’ indicates ranks 1–1000, ‘frequency bands 2000’ indicates ranks 1001–2000, etc. The percentages of the 500 words of each list that belong to each frequency band are shown in Table 3; a blank space indicates that no words belonged to that band. Because the scores for *Freq* and *Dice* were identical, and those of *Chi2* and *Yates* were almost the same, only seven columns are shown.

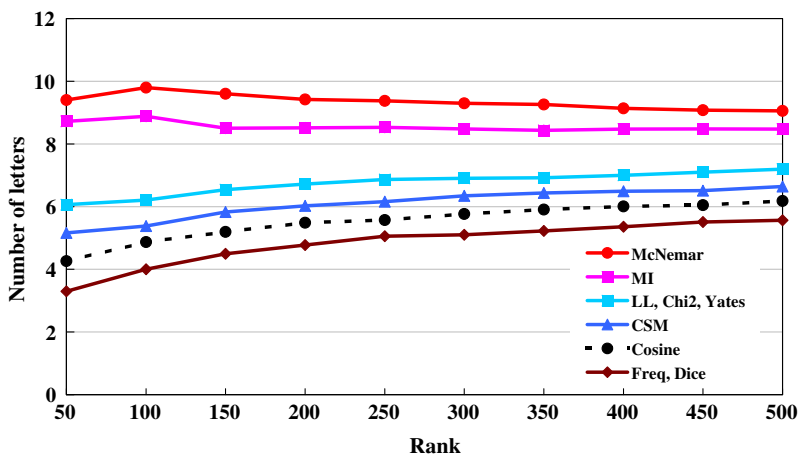


Fig. 1. Word length comparisons of 50-word groups of top 500 words.

Table 3
Frequency distribution of top 500 extractions

BNC frequency bands	<i>Freq, Dice</i>	<i>Cosine</i>	<i>CSM</i>	<i>LL</i>	<i>Chi2, Yates</i>	<i>MI</i>	<i>McNemar</i>
1000	93.6	75.0	66.6	47.4	43.2	11.4	
2000	5.8	13.6	19.2	19.0	18.4	13.4	
3000	0.6	7.0	10.4	15.2	15.4	17.4	
4000		2.4	2.6	8.0	8.2	14.2	26.6
5000		1.2	0.8	3.4	4.0	10.6	34.8
6000		0.6	0.4	3.0	3.2	10.2	15.4
7000		0.2		1.4	2.0	8.6	9.0
8000				1.4	1.6	6.4	6.4
9000					0.2	2.4	2.4
10,000				0.2	0.8	2.0	2.0
11,000				0.6	0.8	1.2	1.2
12,000				0.2	1.2	1.2	1.2
13,000				0.2	0.8	0.8	0.8
13,994					0.2	0.2	0.2

Table 3 shows clear graduations of frequency levels, and the top 500 extractions of each statistical measure are distributed as one might expect from the above observation. Looking across the table from *Freq* to *McNemar*, the top 500 words belong to increasingly lower frequency bands. The frequency bands to which more than 5% of extracted words belong can be used to clarify the frequency level comparisons. Most of the top 500 words from *Freq* and *Dice* belong to the 1000 and 2000 BNC frequency bands. In other words, most of the *Freq* and *Dice* words are included in the 1000 or 2000 most frequently appearing words in spoken and written English. More than 95% of the *Cosine* and *CSM* words belong to the top 1000–3000 BNC frequency bands. More than 85% of the *LL*, *Chi2* and *Yates* words belong to the BNC most frequent 1000–4000 bands. Uniquely, *MI* extracts words evenly from all of the top 1000–8000 BNC frequency bands. And interestingly, *McNemar* extracts words from the BNC 4000–8000 frequency bands. The nine statistical measures clearly extract different outstanding levels of commerce and finance words.

5.3.3. Grade level rated by living word vocabulary

To understand grade level definitions for these extracted words, we examined the levels of the top 500 extractions for word familiarity by native English speaking (NS) children (see Section 4.1.2). Using *The Living Word Vocabulary* (Dale and O'Rourke, 1981), which is “an inventory of the written words known by children and young people in grades 4, 6, 8, 10, 12, 13, and 16,” we determined at what grade level the majority of NS students would readily understand the central meaning of each word in the top 500 extractions produced by the nine statistical measures. (Note that grades 13 through 16 denote four years at the college or university level.) The results are shown in Table 4. The percentages of words not appearing in *The Living Word Vocabulary* are shown in the bottom row, denoted by ‘N/A’. Because the scores for *Freq* and *Dice* were identical, and those of *Chi2* and *Yates* were almost the same, only seven columns are shown.

The grade at which 80% of extracted words are understood can be used to clarify the grade level comparisons and is indicated by underlined scores in Table 4: 80% of the

Table 4
US grade level based on word familiarity

Grade	<i>Freq, Dice</i>	<i>Cosine</i>	<i>CSM</i>	<i>LL</i>	<i>Chi2, Yates</i>	<i>MI</i>	<i>McNemar</i>
4	74.4	62.6	54.8	44.0	41.4	23.8	15.6
6	<u>19.0</u>	<u>22.4</u>	<u>25.8</u>	26.8	26.0	22.4	17.6
8	4.0	7.6	10.4	<u>12.4</u>	12.4	15.4	18.0
10	1.6	3.0	3.8	5.8	<u>6.4</u>	8.0	11.8
12	1.0	3.4	3.8	6.2	6.4	<u>12.2</u>	14.4
13		0.2	0.4	0.4	0.4	2.0	<u>2.6</u>
16		0.6	1.0	2.8	4.2	9.4	10.6
N/A		0.2		1.6	2.8	6.8	9.4

Note: underlined scores show the grade at which 80% of the extracted words are understood.

top 500 words from *Freq, Dice, Cosine,* and *CSM* are understood by 6th grade students, those of *LL* are known by 8th grade students, those of *Chi2* and *Yates* are known by 10th grade students, those of *MI* by 12th, and those of *McNemar* by 13th grade students. Again, this confirms that each statistical measure identifies different grade levels of words.

5.3.4. JSH textbook vocabulary coverage and implications

For this study to be meaningful in an EFL context, we must compare the vocabulary of the top 500 words to an EFL standard. We compared the English extracted words to the vocabulary learned by Japanese students (a total of 3098 different words), which is representative of the vocabulary studied by most high school students before entering a university (see Section 4.1.2).

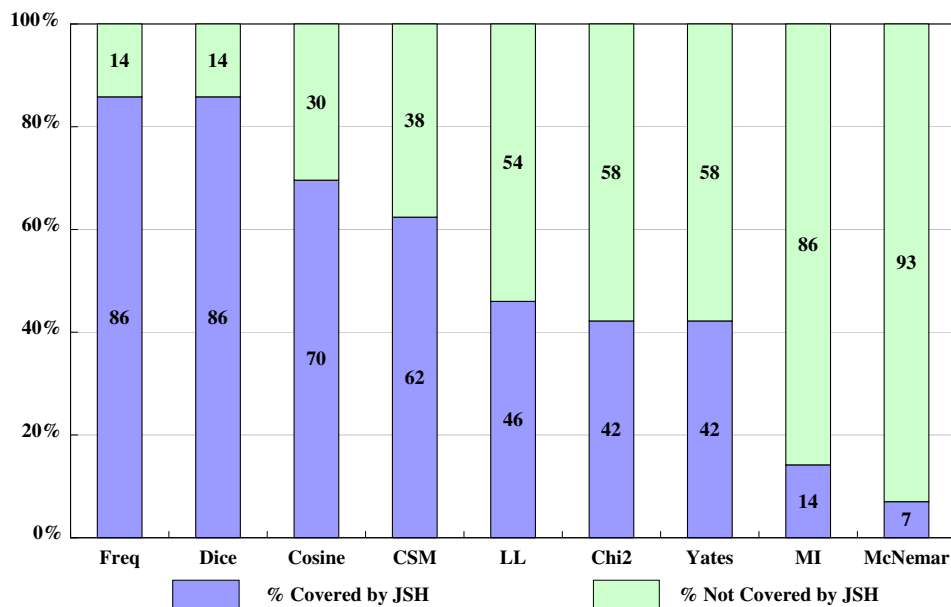


Fig. 2. Percentage of top 500 words covered by JSH textbooks.

JSH textbook coverage is one way to obtain an accurate estimate of the vocabulary level of each extraction, which is crucial information to EFL learners. For ESP learners who want to acquire commerce and finance vocabulary, the percent of words that were covered by the JSH textbook vocabulary, represented by the lower section of each bar in Fig. 2, may be important information. Fig. 2 graphically illustrates that while 86% of the *Freq/Dice* top 500 extractions are covered in the JSH school textbooks, 70% of the *Cosine*, 62% of the *CSM*, 46% of the *LL*, and 42% of the *Chi2/Yates* extractions are covered, and only 14% of the *MI* and 7% of *McNemar* extractions are covered in the JSH school textbooks. Since some of the word forms, which appeared both in the JSH textbooks and the top 500 extractions in business fields, might not be used with the same meaning, the percentage might be lower than those in Fig. 2, if their meanings are considered. Overall we can say that the data in Fig. 2 show that the nine different statistical measures extract words of quite different grade levels.

6. Conclusion

All of the data show that the statistical measures we used tend to extract specialized vocabulary belonging to certain frequency bands and grade levels. Our results were similar to those of prior studies based on a 100,000-word specialized corpus (Chujo, 2004; Utiyama et al., 2004). Our study in combination with these previous studies shows that the results of the statistical measures on corpora are robust; i.e., the results are similar even though the examined corpora sizes are different. The obvious pedagogical implication is that these statistical tools can very effectively be used to automatically extract various types of specialized lists that can be quickly and accurately targeted to learners' vocabulary or proficiency levels. For example, we can infer that the basic business words extracted by *Cosine* and *CSM* would be good for beginning-level business English learners, the *LL/Chi2/Yates* lists would be suitable for intermediate-level business English learners, *MI* and *McNemar* would be appropriate for advanced-level business English learners, and *Freq* and *Dice* might be useful for business students who need to consolidate JSH vocabulary while learning basic business words.

The good news for teachers and material writers is that these statistical tools can help them to select technical vocabulary automatically without much specialist knowledge. Using extracted lists, teachers and material writers can easily manually delete less relevant candidates. One of the challenges in interpreting the results is that the meaning of each word was not considered in generating any of the lists. Also only single-word units were considered, and multi-word units and collocations were not considered in this study. Users of the statistical methodology described in this study would need to be aware of these limitations.

It might also be useful to explore to what extent the business vocabulary identified using the approach employed in this study appears in references such as the *Longman Business English Dictionary* (2000). Our current direction is in selecting three-level business word lists based on the results of this study, and in determining the most practical way to use these nine resulting lists. For example, in order to create a beginning-level list, we are exploring whether it is more efficient to choose words targeted by using only one statistic or combining the results from two statistics such as *Cosine* and *CSM*.

Further work also needs to be done to expand this research to the other fourteen domain-specific components of the BNC, such as social science and arts, to define specialized vocabularies in each domain, and to apply other statistical measures to find more useful formulas for identifying specialized vocabularies. Finally, we would like to develop these specialized vocabularies into e-learning materials for vocabulary building.

Acknowledgements

This study was funded by a Grant-in-aid for Scientific Research (No. 17520401) from the Japan Society for the Promotion of Science and Ministry of Education, Science, Sports and Culture. It was also supported in part by Shogakukan Inc. We are grateful to the anonymous reviewers for detailed comments on an initial draft of this article.

Appendix

Statistics for determining whether a word appears more frequently in a specific corpus than in a general corpus are described here. The statistical measures used were *Freq*, *Dice*, *Cosine*, *CSM*, *LL*, *Chi2*, *Yates*, *MI*, and *McNemar*. These statistical scores can be calculated by using spreadsheet applications such as Excel. Their formulas are given below.

The statistical score of word X , i.e., the extent of the dissimilarity between two word lists, is calculated by comparing the patterns of the frequency of each word in the Commerce word list with the frequency of the same word in the BNC HFWL.

The program computes a , b , c , d , and n , and cross-tabulates these:

a stands for the frequency of word X in the Commerce word list

b stands for the frequency of word X in the BNC HFWL

c stands for the number of running words in Commerce not involving word X

d stands for the number of running words in BNC HFWL not involving word X

n denotes $a + b + c + d$

	Commerce	BNC HFWL
X	a	b
not X	c	d

$$LL_0 = a \log(an/((a+b)(a+c))) + b \log(bn/((a+b)(b+d))) \\ + c \log(cn/((c+d)(a+c))) + d \log(dn/((c+d)(b+d)))$$

$$Chi2_0 = (n(ad - bc)^2)/((a+b)(c+d)(a+c)(b+d))$$

$$Yates_0 = n(|ad - bc| - n/2)^2/((a+b)(c+d)(a+c)(b+d))$$

Correction of the above three measures:

$$LL = \text{sign}(ad - bc) \times LL_0$$

$$Chi2 = \text{sign}(ad - bc) \times Chi2_0$$

$$Yates = \text{sign}(ad - bc) \times Yates_0$$

$$\text{sign}(z) = \begin{cases} +1 & \text{if } z > 0 \\ -1 & \text{otherwise} \end{cases}$$

$$Dice = 2a / (2a + b + c)$$

$$Cosine = a / \sqrt{(a + b)(a + c)}$$

$$CSM = (ad - bc) / \sqrt{(a + c)(b + d)}$$

$$MI = \log(an / ((a + b)(a + c)))$$

$$McNemar = \frac{(b - c)^2}{b + c}$$

$$Freq = a$$

References

- Asano, H. et al., 2000. New Horizon English Course 1, 2, 3. Tokyo Shoseki, Tokyo.
- Beglar, D., Hunt, A., 2005. Six principles for teaching foreign language vocabulary: a commentary on Laufer, Meara, and Nation's "ten best ideas". *The Language Teacher* 29 (7), 7–10.
- Burnard, L., 2000. Reference guide for the British National Corpus (World Edition). Available from: <<http://www.natcorp.ox.ac.uk/World/HTML/thebib.html>>.
- Chujo, K., 2003. Eigo shokyuushamuke TOEIC-goi 1 & 2 no sentei to sono kouka (Selecting "TOEIC Vocabulary 1 & 2" for beginning-level students and measuring its effect on a sample TOEIC test). *Journal of the College of Industrial Technology Nihon University* 36, 27–42.
- Chujo, K., 2004. Measuring vocabulary levels of English textbooks and tests using a BNC lemmatised high frequency word list. In: Nakamura, J., Inoue, N., Tabata, T. (Eds.), *English Corpora Under Japanese Eyes*. Rodopi, Amsterdam, pp. 231–249.
- Chujo, K., Takefuta, Y., 1989. Joseimuke eigo zasshi no goi (Vocabulary of women's English magazines). *Current English Studies* 28, 73–84.
- Chujo, K., Utiyama, M., 2004. Toukeiteki shihyou wo shiyoushita tokuchougo chuushutsu ni kannsuru kenkyuu (Using statistical measures to extract specialized vocabulary from a corpus). *KATE Bulletin* 18, 99–108.
- Chung, T.M., Nation, P., 2004. Identifying technical vocabulary. *System* 32 (2), 251–263.
- Church, K.W., Hanks, P., 1989. Word association norms, mutual information, and lexicography. *Proceedings of ACL-89*, 76–83.
- CLAWS7, 1996. Available from: <<http://www.comp.lancs.ac.uk/computing/users/eiamjw/claws/claws7.html>>.
- Dale, E., O'Rourke, J., 1981. *The Living Word Vocabulary*. World Book-Childcraft International, Inc., Chicago.
- Dudley-Evans, T., St. John, M.J., 1998. *Developments in English for Specific Purposes: A Multi-Disciplinary Approach*. Cambridge University Press, Cambridge.
- Dunning, T.E., 1993. Accurate methods for the statistics of surprise and coincidence. *Computational Linguistics* 19 (1), 61–74.
- Engels, L.K., Beckhoven, B.V., Leenders, T., Brasseur, I., 1981. *L.E.T. Vocabulary-List*. Acco, Leuven.
- Flowerdew, L., 2003. A combined corpus and systemic-functional analysis of the problem–solution pattern in a student and professional corpus of technical writing. *TESOL Quarterly* 37 (3), 467–487.
- Harris, A.J., Jacobson, M.D., 1972. *Basic Elementary Reading Vocabularies*. Macmillan, New York.
- Hindmarsh, R., 1980. *Cambridge English Lexicon*. Cambridge University Press, Cambridge.
- Hisamitsu, T., Niwa, Y., 2001. Topic-word selection based on combinatorial probability. *NLPRS-2001*, 289–296.
- Hunston, S., 2002. *Corpora in Applied Linguistics*. Cambridge University Press, Cambridge.
- Hutchinson, T., Waters, A., 1987. *English for Specific Purposes*. Cambridge University Press, Cambridge.

- Justeson, J., Katz, S.M., 1995. Technical terminology: some linguistic properties and an algorithm for identification in text. *Natural Language Engineering* 1, 9–27.
- Kennedy, G., 2003. Amplifier collocations in the British National Corpus: implications for English language teaching. *TESOL Quarterly* 37 (3), 467–487.
- Laufer, B., Meara, P., Nation, P., 2005. Ten best ideas for teaching vocabulary. *The Language Teacher* 29 (7), 3–6.
- Mackey, W.F., 1965. *Language Teaching Analysis*. Longman, London.
- Mackey, W.F., Savard, J.G., 1967. The indices of coverage: a new dimension in lexicometrics. *IRAL* 2 (3), 71–121.
- Manning, C.D., Schütze, H., 1999. *Foundations of Statistical Natural Language Processing*. The MIT Press, Cambridge.
- McCarthy, M., 2002. What is an advanced level vocabulary. In: Tan, M. (Ed.), *Corpus Studies in Language Education*. IEL Press, Bangkok, pp. 15–29.
- Nation, I.S.P., 1994. *New Ways in Teaching Vocabulary*. TESOL, Inc., Virginia.
- Nation, I.S.P., 2001. *Learning Vocabulary in Another Language*. Cambridge University Press, Cambridge.
- Nelson, M., 2000. A corpus-based study of business English and business English teaching materials, unpublished Ph.D. thesis, University of Manchester, Manchester.
- Oakes, M., 1998. *Statistics for Corpus Linguistics*. Edinburgh University Press, Edinburgh.
- Rayner, J.C.W., Best, D.J., 2001. *A Contingency Table Approach to Non-parametric Testing*. Chapman & Hall/CRC, New York.
- Richards, J.C., 1970. A psycholinguistic measure of vocabulary selection. *IRAL* 8 (2), 87–102.
- Richards, J.C., 1974. Word lists: problem and prospects. *RELC Journal* 5 (2), 69–84.
- Scott, M., 1996/1999/2004. *WordSmith Tools* [Computer software]. Oxford University Press, Oxford.
- Scott, M., 1997. PC analysis of key words and key key words. *System* 25 (2), 233–245.
- Suenaga, K. et al., 2000. *Unicorn English Course I, II, Reading*. Bun'eido, Tokyo.
- Summers, D., 2000. *Longman Business English Dictionary*. Pearson Education Limited, Harlow.
- Sutarsyah, C., Kennedy, G., Nation, P., 1994. How useful is EAP vocabulary for ESP? A corpus-based study. *RELC Journal* 25, 34–50.
- Takefuta, Y., Hasegawa, S., Chujo, K., 1994. Goi list 'Gendaieigo no Keyword' no nin'chi level niyoru kubun no datousei (Validity of cognitive level grading for Keyword System 5000). *Working Papers in Language and Speech Science* 4, 53–63.
- Thorndike, E.L., Lorge, I., 1944. *The Teacher's Word Book of 30,000 Words*. Bureau of Publications Teachers College, Columbia University, New York.
- Tribble, C., 2000. Genres, keywords, teaching: towards a pedagogic account of the language of project proposals. In: Burnard, L., McEnery, T. (Eds.), *Rethinking Language Pedagogy from a Corpus Perspective*. Peter Lang Pub., Frankfurt am Main, pp. 76–90.
- Utiyama, M., Chujo, K., Yamamoto, E., Isahara, H., 2004. Eigokyouiku no tameno bunya tokuchou tango no senteishakudo no hikaku (A comparison of measures for extracting domain-specific lexicons for English education). *Journal of Natural Language Processing* 11 (3), 165–197.
- Wakaki, M., Hagita, N., 1996. Recognition of degraded machine-printed characters using a complementary similarity measure and error-correction learning. *IEICE Transactions on Information and Systems* E79-D, 5.
- West, M., 1953. *A General Service List of English Words*. Longman, London.